

## Appendix 2 - Key points from the ETM discussion of strategic risks – 21 June 2021

Strategic risks are subject to a monthly review by the Executive Team and were last discussed at the Executive Team Meeting (ETM) on 21 June 2021, including:

- a summary of all strategic risks, aligned to their respective Academies, and
- a movement log showing additions, closures and changes in score, and those risks which had passed their review date.

Key points from the ETM discussion of strategic risks were as follows:

- one risk had changed in score: risk 3380, which relates to patients with a mental health diagnosis not receiving appropriate treatment, has been reduced from 20 to 16 as the likelihood is now judged to be 4 rather than 5. This reflects the effectiveness of mitigations including the completion of breakaway training for staff in June 2021.
- no risks were removed or closed: however in discussion ETM noted the Director of HR's proposal that risk 3560 should be closed, as this related to the risk that significant numbers of staff would be forced to isolate as a result of being identified as a contact through the national Test Trace & Isolate programme. In practice this risk had not materialised.
- three risks had passed their review date: two had been due for review at the end of May (3538 and 3636) and reviews were underway. Risk 3627 was due to be reviewed by the end of March and the relevant Director undertook to complete this review with immediate effect.
- no new risks were reported to ETM: however it was noted after the meeting that there had in fact been agreement previously to escalate risk 2944 (re: theatre staffing levels) to the Strategic Risk Register, on the basis of a comprehensive risks assessment and mitigation plan. The Corporate Governance team wrote to Exec Directors to explain that this was now part of the SRR and should have been included for ETM consideration.